

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the **report for Quarter ending 30-Sep-2016**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.1861	0	0	974044	100	974044
B.	PUBLIC	105342	505690685	0	0	505690685	99.760	505690685	0	505690685	99.760	16429681	99.767	0	0	0	0	505638804
C.	NON PROMOTER-NON PUBLIC	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	0	0	243207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	105346	506664729	0	243207	506907936	100	506907936	0	506907936	100	16429681	100.000	0	0	974044	100	506856055

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)	
								Class X	Class Y	Total									
1. INDIAN																			
Individuals/Hindu undivided Family																			
a.		2	452844	0	0	452844	0.089	452844	0	452844	0.089	0	0.087	0	0	452844	100.00	452844	
Names :								0		0									
Subash Menon	AATPM5809J		80601	0	0	80601	0.016	80601	0	80601	0.016	0	0.015	0	0	80601	100.00	80601	
Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.073	372243	0	372243	0.073	0	0.071	0	0	372243	100.00	372243	
b. Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
c. Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
Names :								0		0	0.000								
d. ANY OTHER								0		0	0.000								
Bodies Corporate		1	521200	0	0	521200	0.103	521200	0	521200	0.103	0	0.100	0	0	521200	100.00	521200	
Names :								0		0	0.000								
KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.103	521200	0	521200	0.103	0	0.100	0	0	521200	100.00	521200	
Sub-Total (A)(1)		3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.186	0	0	974044	100.00	974044	
								0		0	0.000								
2. FOREIGN																			
Individuals (Non-Resident Individuals/ Foreign Individuals)																			
a.		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
b. Government		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
c. Institutions		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
d. Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
f. ANY OTHER								0		0	0.000								
Names :								0		0	0.000								
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0	0
								0		0	0.000								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.186	0	0	974044	100.00	974044	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
									Class X	Class Y	Total								
1. INSTITUTIONS																			
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
b.	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
c.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
d.	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
e.	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
f.	Financial Institutions/ Banks		2	4078655	0	0	4078655	0.80	4078655	0	4078655	0.80	0	0.779	0	0	NA		4078655
g.	Insurance Companies		2	78764	0	0	78764	0.02	78764	0	78764	0.02	0	0.015	0	0	NA		78764
h.	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
i.	ANY OTHER								0		0								
	CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
	FOREIGN INSTITUTIONAL INVESTORS		1	881257	0	0	881257	0.17	881257	0	881257	0.17	0	0.168	0	0	NA		881257
	FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA		0
	Sub-Total (B)(1)		5	5038676	0	0	5038676	0.99	5038676	0	5038676	0.99	0	0.963	0	0			5038676
									0		0								
2.	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0			0
									0		0								
3. NON-INSTITUTIONS																			
a.	INDIVIDUALS -								0		0								
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		97097	139865889	0	0	139865889	27.59	139865889	0	139865889	27.59	0	26.726	0	0	NA		139816078

Table III

II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2005	143281941	0	0	143281941	28.27	143281941	0	143281941	28.27	0	27.379	0	0	NA	143281941
Names :								0		0							
b. NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
c. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0
e. ANY OTHER								0		0							
BODIES CORPORATE		1584	115614856	0	0	115614856	22.81	115614856	0	115614856	22.81	0	22.092	0	0	NA	115614456
Names :								0		0							
AKG FINVEST LTD	AADCA8306P		13370000	0	0	13370000	2.64	13370000	0	13370000	2.64	0	2.555	0	0	0	13370000
UNO METALS LTD	AAACU3053E		14352000	0	0	14352000	2.83	14352000	0	14352000	2.83	0	2.742	0	0	0	14352000
ANGEL FINCAP PRIVATE LTD	AAACA7011Q		5134028	0	0	5134028	1.01	5134028	0	5134028	1.01	0	0.981	0	0	0	5134028
TRUSTS		7	388600	0	0	388600	0.08	388600	0	388600	0.08	0	0.074	0	0	NA	388600
DIRECTOR & THEIR RELATIVES		1	60000	0	0	60000	0.01	60000	0	60000	0.01	0	0.012	0	0	NA	60000
FOREIGN NATIONALS		26	83852	0	0	83852	0.02	83852	0	83852	0.02	0	0.016	0	0	NA	83852
NON RESIDENT INDIANS		855	10853168	0	0	10853168	2.14	10853168	0	10853168	2.14	0	2.074	0	0	NA	10853168
CLEARING MEMBERS		291	5703595	0	0	5703595	1.13	5703595	0	5703595	1.13	0	1.090	0	0	NA	5703595
HINDU UNDIVIDED FAMILIES		3426	18892701	0	0	18892701	3.73	18892701	0	18892701	3.73	0	3.610	0	0	NA	18892701
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0
FOREIGN CORPORATE BODIES		4	65280387	0	0	65280387	12.88	65280387	0	65280387	12.88	16429681	15.61	0	0	NA	65280387
Names :								0		0	0.00						
QVT MAURITIUS WEST FUND	AAACQ1738J		43020395	0	0	43020395	8.49	43020395	0	43020395	8.49	0	8.220	0	0	0	43020395
DEUTSCHE BANK AG LONDON	AAACD1390F		17436426	0	0	17436426	3.44	17436426	0	17436426	3.44	0	3.332	0	0	0	17436426
ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS		41	627020	0	0	627020	0.12	627020	0	627020	0.12	0	0.120	0	0	NA	625350
Sub-Total (B)(3)		105337	500652009	0	0	500652009	98.77	500652009	0	500652009	98.77	0	95.665	0	0		500600128
								0		0	0.00						
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		105342	505690685	0	0	505690685	99.76	505690685	0	505690685	99.76	0	99.806	0	0		505638804
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Name of the PAC	N of Shares	% of PAC															
QVT Mauritius West Fund & Quintessence Mauritius West Fund	47843416	9.44															
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter- Non Public Shareholding (C)=(1)+(C)(2)		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0			243207