Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the Quarter ending 31-Mar-2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-		Numb	er of Voting R	tights	No. of	Shareholding,	Num	ber of	Numbe	er of	Number of
gory	Shareholder	Share-	fully paid up	Partly	shares	shares held	holding as			in each class	•	Shares	as a %		ked in	Share		equity
(I)	(II)	holders	equity	,	underlying	(VII) =	a % of total			securities		Underlying	assuming		ares	pledge		shares held in
(-)	()	(III)	shares		Depository	(IV)+(V)+ (VI)	no.			(IX)		Outstanding	3	-	XII)	otherw		dematerialized
		` '	held	shares	Receipts	() () ()	of shares						of convertible	`	′	encumb		form (XIV)
			(IV)	held	(VI)		(calculated			No of Voting	Total as	securities	securities		As a %	No.(a)		, ,
			()	(V)	` '		as	Class	Class	Total	a % of (A+B+C)	(including	(as a	No.(a)		110.(u)	/\0 u	
				(/			per SCRR,	eg: X	eg: Y		a 70 01 (711210)	Warrants)	percentage	. 10.(u)	Shares		of total	
							1957)	0g. /\	og			(X)	of diluted		held (b)		Share	
							(VIII) As a					. ,	share		(2)		S	
							% of						capital)				held	
							(A+B+C2)						(XI)=(VII)+(X)				(b)	
							,						As a % of				(-)	
													(A+B+C2)*					
													,					
	PROMOTER &																	
1.	PROMOTER	_		_	_				_			_		_				
Α.	GROUP	3	974044	0	0	974044			0	974044	0.192		0.1864		0	974044		974044
В.	PUBLIC	106430	505690685	0	0	505690685	99.760	505690685	0	505690685	99.760	15522785	99.767	0	0	0	0	505638804
	NON PROMOTER-		_	_					_			_		_		_	_	
C.	NON PUBLIC	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0466	0	0	0	0	243207
	SHARES		_	_					_			_		_		_	_	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0466	0	0	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE	_	_	_		_		_	_	_	_	_	_		ا۔		_	
C2.	TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
L	Total	106434	506664729	0	243207	506907936	100	506907936	0	506907936	100	15522785	100.000	0	0	974044	100	506856055

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statement	showing shareh	nolding pa	ttern of the	Promoter	and Promo	ter Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Num	nber of V	oting Righ	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %	he	eld in ea	ch class of		Shares	as a %		ced in		ged or	equity
	(1)	()	holder	up	equity	underlying	held	calculated	Table	e II secu	rities		Underlying	assuming	sh	ares		rwise	shares held in
	· · ·		(III)	equity	shares	Depository	(VII =	as		(E			Out-	full conversion	()	(II)		ered (XIII)	dematerialized
			. ,	shares	held	Receipts	IV + V +	per SCRR,		`	,		standing	of convertible	,	,		,	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					
				(IV)	(-)	(/	,	As a % of		Voting F		Total as	securities	(as a		As a %	No.(a)	As a %	
				(,				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	Х	Υ		Total	Warrants)	of diluted		Shares		Shares	
								(,				Voting	(X)	share		held (b)		held (b)	
												rights	(-)	capital)					
														(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
1																			
1.	INDIAN																		
	Individuals/Hindu																		
	undivided																		
a.	Family		2	452844	0	0	452844	0.089	452844			0.089	0	0.087	0	0	452844	100.00	452844
	Names :								0		0								
	Subash Menon	AATPM5809J		80601	0		80601	0.016	80601			0.016					80601	100.00	
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.073	372243	0	372243	0.073	0	0.071	0	0	372243	100.00	372243
	Central Government/			_	_	_	_	_	_		_		_	_	_	_	_	_	_
b.	State Government(s)		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0
	Financial Institutions/		_	ا ا		_	_	_	_	_	_	٠	_	_	_	_	_	_	_
C.	Banks		0	0	0	0	0	0	0		·		0	0	0	0	0	0	0
	Names : ANY OTHER								0		0	0.000							
a.			1	521200	0	0	521200	0.103	521200			0.000	0	0.100	0	0	521200	100.00	521200
	Bodies Corporate Names :		1	521200	U	U	521200	0.103	521200		521200	0.103		0.100	U	U	521200	100.00	521200
	KIVAR HOLDINGS PVT LTD	AACCC7712C		521200	0	0	521200	0.103	521200			0.103	0	0.100	0	0	521200	100.00	521200
	Sub-Total (A)(1)	AACC37712C	3	974044	0	0	974044	0.103	974044						·	Ŭ	974044	100.00	
-	Sub-Total (A)(T)			9/4044	U	U	9/4044	0.192	9/4044		974044	0.192	U	0.100	U	U	9/4044	100.00	974044
2	FOREIGN								0		0	0.000	1						
<u></u>	Individuals (Non-								U		U	0.000		 					
	Resident Individuals/							İ				l							
a.	Foreign Individuals)		0	0	0	n	n	0	0	0	0	0.000	0	0	0	0	0	_	0
b.	Government		0	•		0	0	-	0				0			_	0	-	
	Institutions		0		0		0		0										
	Foreign Portfolio Investor		0		0		0		0								0		
	ANY OTHER		 			•	-	- ·	0		0	0.000		- ·	_	_		- ·	-
<u> </u>	, OHIER								0		0	0.000							
-	Sub-Total (A)(2)		0	0	0	0	0	0	0		0	0.000	0	0	0	0	0	n	0
	(, (-)		<u> </u>		Ů	, i			0		0	0.000		ľ		Ĭ			Ť
	Total Shareholding of			1					•			0.000	i						
1	Promoter							İ				l							
1	and Promoter Group (A)=							İ				l							
	(A)(1)+(A)(2)		3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.186	0	0	974044	100.00	974044
—	N·N·1·(□)(□)			U1 7077	U	·	J. 7077	0.132	J17077		U1 7U77	U. 132		0.100			U1 7U77		51 7377

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table III - Stateme	ent showing sh	nareholdir	ng pattern o	f the Pub	olic shareho	lder												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	mber of	Voting Rights		No. of	Total Share-	Nun	nber of	Number of	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %			ach class of		Shares	holding,	Loc	ked in	pledo	ed or	equity
	(1)	(,	holder	equity	equity	underlying	(VII =	calculated			urities		Underlying	as a % assuming		ares		rwise	shares held in
	(4)		(III)	shares	shares	Depository	IV + V + VI)	as			(IX)		Out	full conversion	-	XII)		ered (XIII)	dematerialized
			()	held	held	Receipts		per	No c	f Votino		Total	standing	of convertible		As a %	No.(a)	As a %	form (XIV)
				(IV)	(V)	(VI)		SCRR,	Class	Class	Total	as	convertible	securities	No.(a)		(Not	of total	101111 (7417)
				(10)	(*)	(*1)		1957	X	Y	Total	a % of	securities	(as a percentage	140.(4)	Shares	applicable)	Shares	
								As a % of	^	'		Total	(including	of diluted share		held (b)	applicable)	held	
								(A+B+C2)				Voting	Warrants)			rieid (b)		(Not	
								(VIII)						capital) (XI)				applicable)	
								(VIII)				rights	(X)	(٨1)					
																		(b)	
_	INSTITUTIONS																		
1.			0	0		0	0		0	_	0	0	0	0	0	_			
а.	Mutual Funds/		·	Ū	,	0	0			_			,			·			0
b.	Venture Capital Funds		0			0	•	0.00	0		0	_ •	0						0
c.	Alternate Investment Funds		0	U	U	0	0	0.00	0	U	0	U	U	0	U	0	NA		0
١.	Foreign Venture		_	ا	_	_			_	_		_	_		_	_			
d.	Capital Investors		0	0	U	U	0	0.00	0	0	0	0	0	0	0	0	NA		U
	Foreign Portfolio		_	ا	_	_			_	_		_	_		_	_			
e.	Investors		0	0	U	U	0	0.00	0	0	0	0	0	0	0	0	NA		U
1.	Financial Institutions/																		
f.	Banks		3	2164479	0	0	2164479		2164479	0	2164479	0.43	0		0	0	NA		2164479
g.	Insurance Companies		2	78764	0	0	78764	0.02	78764	0	78764	0.02	0	0.015	0	0	NA		78764
	Provident Funds/																		
h.	Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
i.	ANY OTHER								0		0								
	CENTRAL GOVERNMENT/																		
	STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
	FOREIGN INSTITUTIONAL																		
	INVESTORS		1	2806956	0	0	2806956	0.55	2806956	0	2806956	0.55	0	0.537	0	0	NA		2806956
	FOREIGN FINANCIAL																		
	INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA		0
									0		0								
	Sub-Total (B)(1)		6	5050199	0	0	5050199	1.00	5050199	0	5050199	1.00	0	0.967	0	0			5050199
									0		0								
	Central Government/																		
	State Government(s)/																		
2.	President of India		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
									0		0								
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0			0
									0		0								
3.	NON-INSTITUTIONS								0		0								
a.	INDIVIDUALS -								0		0								
	I. Individual shareholders																		
	holding nominal share capital																		
	up to Rs. 2 lakhs.		98342	144498048	0	0	144498048	28.51	144498048	0	144498048	28.51	l o	27.659	0	0	NA.	1	144448237
	II. Individual shareholders		,,,,,,	000.0		_	100010						1				1		
	holding nominal share capital												l					1	
	in excess of Rs. 2 lakhs.		2091	153039854	0	0	153039854	30.19	153039854	0	153039854	30.19	۰ ا	29.294	0	0	NA.	1	153039854
							.000000	00.10	. 0000000		.0000000			_U.LUT			, III		

Negres registered				- 1										1		1	1		Manage .	_
D. WITH RBI								<u> </u>	0		0						1		Names :	
C. Employee Trusts 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					_		_			_				_		_				
Overseas Depositories Over				0			0	0		•			0	0	0	0	0			_
Cholding DRs			NA	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0			c.
d. (balancing figure) 0 0 0 0 0.00 0																				
B. DIES CORPORATE BODIES CORPORATE 1348 106260982 0 0 106260982 0.96 106260982 0.96 0 20.340 0 0 NA Names: 0 0 0 0 0 0 0 0 0 NA AKG FINVEST LTD AADCA8306P 20070000 0 0 20070000 3.96 20070000 0 20070000 3.96 0 3.842 0 0 0 0 NOMETALS LTD AAACU3053E 17252000 0 0 17252000 3.40 17252000 3.40 17252000 3.40 10725200																				
BODIES CORPORATE 1348 106260982 0 0 106260982 20.96 106260982 20.96 0 20.340 0 0 NA Mames Names			NA	0	0	0	0	0	v	0		0.00	0	0	0	0	0			
Names :									U		•									e.
AKG FINVEST LTD	106260582		NA	0	0	20.340	0	20.96	106260982	0		20.96	106260982	0	0	106260982	1348			
UNO METALS LTD									0		•									
ANGEL FINCAP PRIVATE LTD	20070000		•	0	_		0							0	·					
TRUSTS 7 388600 0 0 388600 0 388600 0 388600 0 0 388600 0 0 0.074 0 0 NA DIRECTOR & THEIR RELATIVES 1 1 60000 0 0 60000 0.01 60000 0 60000 0.01 0 0.012 0 0 NA FOREIGN NATIONALS 25 81194 0 0 81194 0.02 81194 0 81194 0.02 0 0.016 0 0 NA NON RESIDENT INDIANS 882 8497887 0 0 8497887 1.68 8497887 1.68 8497887 1.68 0 1.627 0 0 NA CLEARING MEMBERS 187 1779005 0 0 1779005 0.35 1779005 0 1779005 0.35 0 0.341 0 0 NA HINDU UNDIVIDED FAMILIES 3496 20136829 0 0 22136829 3.97 20136829 0 20136829 3.97 0 3.854 0 0 NA FOREIGN CORPORATE BODIES 4 65280387 0 0 65280387 12.88 65280387 0 65280387 12.88 15522785 15.47 0 0 NA Names: 0 0 0 0 43020395 8.49 43020395 0 43020395 8.49 0 8.235 0 0 0 0 DEUTSCHE BANK AG LONDON-CB ACCOUNT AAACD1390F 17436426 0 0 17436426 0 17436426 0 17436426 0 0 17436426 3.44 0 3.338 0 0 0 0	17252000		•												·					
DIRECTOR & THEIR RELATIVES 1 60000 0 0 60000 0.01 60000 0 60000 0.01 0 0 0.012 0 0 0 NA	7227806		v	0										0	0			AAACA7011Q		
FOREIGN NATIONALS 25 81194 0 0 81194 0.02 81194 0 81194 0.02 0 0.016 0 0 NA NA NA NAMESIBENT INDIANS 882 8497887 0 0 8497887 1.68 8497887 0 8497887 1.68 0 1.627 0 0 NA NA COLTAGE COL	388600			0										0	0		7			
NON RESIDENT INDIANS 882 8497887 0 0 8497887 1.68 8497887 1.68 8497887 1.68 0 1.627 0 0 NA	60000			0						_				0	0		1			
CLEARING MEMBERS 187 1779005 0 0 1779005 0.35 1779005 0.35 0 0.341 0 0 NA HINDU UNDIVIDED FAMILIES 3496 20136829 0 0 20136829 3.97 20136829 3.97 0 3.854 0 0 NA OTHERS 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 NA NA 0 0 NA 0 0 0 0 0 0 0 0 0 0 0 0 0 NA 0 0 NA 0 0 0 NA 0 0 NA 0 0 0 NA 0 0 NA 0 <t< td=""><td>81194</td><td></td><td></td><td>0</td><td></td><td></td><td>·</td><td></td><td></td><td></td><td></td><td></td><td></td><td>•</td><td>•</td><td></td><td></td><td></td><td></td><td></td></t<>	81194			0			·							•	•					
HINDU UNDIVIDED FAMILIES 3496 20136829 0 0 20136829 3.97 20136829 0 20136829 3.97 0 3.854 0 0 NA	8497887			0			Ū			_				•	•					
OTHERS 0 NA Names : 0 0 0 0 0 0 0 0 0 0 0 0 NA 0 0 NA 0	1779005			0						-				U	·					
FOREIGN CORPORATE BODIES 4 65280387 0 0 65280387 12.88 65280387 0 65280387 12.88 15522785 15.47 0 0 NA Names: QVT MAURITIUS WEST FUND AAACQ1738J 43020395 0 0 43020395 8.49 43020395 0 43020395 8.49 0 8.235 0 0 0 DEUTSCHE BANK AG LONDON- CB ACCOUNT AAACD1390F 17436426 0 0 17436426 3.44 17436426 0 17436426 3.44 0 3.338 0 0 0	20136829			0	0	3.854	0		20136829		20136829		20136829	0		20136829	3496			
Names: QVT MAURITIUS WEST FUND AAACQ1738J 43020395 0 0 43020395 8.49 43020395 8.49 0 8.235 0 0 0 DEUTSCHE BANK AG LONDON- CB ACCOUNT AAACD1390F 17436426 0 0 17436426 3.44 17436426 0 17436426 3.44 0 3.338 0 0 0			NA	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0		OTHERS	
QVT MAURITIUS WEST FUND AAACQ1738J 43020395 0 0 43020395 8.49 43020395 8.49 0 8.235 0 0 0 DEUTSCHE BANK AG LONDON- CB ACCOUNT AAACD1390F 17436426 0 0 17436426 3.44 17436426 0 17436426 3.44 0 3.338 0 0 0	65280387		NA	0	0	15.47	15522785	12.88	65280387	0	65280387	12.88	65280387	0	0	65280387	4		FOREIGN CORPORATE BODIES	
DEUTSCHE BANK AG LONDON- GB ACCOUNT AAACD1390F 17436426 0 0 17436426 0 17436426 3.44 0 3.338 0 0 0								0.00	0		0								Names :	
CB ACCOUNT AAACD1390F 17436426 0 0 17436426 3.44 17436426 0 17436426 3.44 0 3.338 0 0 0	43020395		0	0	0	8.235	0	8.49	43020395	0	43020395	8.49	43020395	0	0	43020395		AAACQ1738J	QVT MAURITIUS WEST FUND	
																			DEUTSCHE BANK AG LONDON-	
	17436426		0	0	0	3,338	0	3.44	17436426	0	17436426	3.44	17436426	0	0	17436426		AAACD1390F	CB ACCOUNT	
																			FSOPs/ FSOS/FSPS FMPL OYFF	
SHARE HOLDERS 41 617700 0 0 617700 0.12 617700 0.12 0 0.118 0 0 NA	616030		NΔ	0	0	0 118	0	0.12	617700	0	617700	0.12	617700	0	0	617700	41			
	500588605			0										0	0					
						00.020	Ť		0000.0.00			000	000010100	Ţ	•	000010100			000 1000 (2)(0)	
Total Public Shareholding	_							0.00	·										Total Public Shareholding	
	505638804			0	0	99.806	15522785	99.76	505690685	0	505690685	99.76	505690685	0	0	505690685	106430			
1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				•		00.000	.0022.00	000	00000000		00000000	000	00000000			00000000			((=)-(=)(-)-(=)(=)(=)	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):														No. and %):	holding (g their Share	ert includin	ersons in Conc	etails of the shareholders acting as p	Deta
Name of the PAC N of Shares 1% of PAC	$\overline{}$																% of PAC	N of Shares	Name of the PAC	
47843416 9.44				1																
QVT Mauritius West Fund &																	1		QVT Mauritius West Fund &	
Quintessence Mauritius West Fund																				
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.		1		ozen etc	h are fr	oting rights which	e accourt '	siisnens	nat/unclaimed	in dem	n shares held	outstandin	hareholdere	number of e	e elich se	n with detail	n hear alon	ned may be give		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	<u>abl</u> e IV - Statement sn	owing sna	renolaling p	attern or i	me Non Pro	omoter- Non	Public Sha	renoider												_
	Category & Nan	ne	PAN	Nos. of	No. of	Partly paid-	No. of	Total nos.	Share-	Num	ber of \	oting Righ	ts	No. of	Total Share-	Num	nber of	Numbe	r of Shares	Number of
	of the Sharehold	ers	(II)	Share-	fully paid	up	shares	shares	holding %	hel	d in ea	ch class of		Shares	holding ,	Loc	ked in	pled	dged or	equity
	(1)			holder	up	equity	underlying	held	calculated as		secu	rities		Underlying	as a %	sh	ares	oth	erwise	shares held in
				(III)	equity	shares	Depository	(VII =	per SCRR,		(I.	X)		Out	assuming	(2	XII)	encum	bered (XIII)	dematerialized
					shares	held	Receipts	IV + V +	1957					standing	full					form (XIV)
					held	(V)	(VI)	VI)	As a % of		Voting I		Total	convertible	conversion		As a %	No.(a)	As a %	(Not
					(IV)				(A+B+C2)	Class	Class	Total	as	securities	of convertible	No.(a)	of total	(Not	of total	applicable)
									(VIII)	X	Υ		a % of	(including	securities		Shares	applic	Shares	
													Total	Warrants)	(as a		held (b)	able)	held	
													Voting	(X)	percentage				(Not	
													rights		of diluted				applicable)	
L															share				(b)	
	 Custodian/DR Holde 			1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0466	0	0	NA		243207
	Employee Benefit Ir																			
	(under SEBI (Share I	pased																		
	Employee Benefit)																			
L	2. Regulations, 2014)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter-	Non																		
	Public Shareholding	ı																		
	(C)=(C)(1)+(C)(2)																			
L				1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0466	0	0			243207