

Annexure - I

**Holding of specified securities ( Statement Showing Shareholding Pattern )**

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending **30-Sep-2018**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	649044	0	0	649044	0.12	649044	0	649044	0.12	0	0.12	0	0	101801	15.68	649044
B.	PUBLIC	112278	561110684	0	0	561110684	99.84	561110684	0	561110684	99.84	0	99.84	0	0.00	0	0	561067163
C.	NON PROMOTER- NON PUBLIC	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C1.	SHARES UNDERLYING DR S SHARES HELD BY EMPLOYEE TRUSTS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total	112282	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	101801	0.02	561959414

\*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																							
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)					
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)						
								Class X	Class Y	Total													
<b>1. INDIAN</b>																							
<b>Individuals/Hindu undivided Family</b>																							
a. Family			2	627844	0	0	627844	0.11	627844	0	627844	0.11	0	0.11	0	0	80601	12.84	627844				
Names :									0		0			0.00									
Subash Menon	AATPM5809J			255601	0	0	255601	0.05	255601	0	255601	0.05	0	0.05	0	0	80601	31.53	255601				
Sudeesh Yezhuvath	AAAPY9060G			372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0.00	372243				
<b>Central Government/ State Government(s)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
<b>Financial Institutions/ Banks</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
Names :									0		0			0.00									
<b>ANY OTHER</b>									0		0			0.00									
<b>Bodies Corporate</b>			1	21200	0	0	21200	0.00	21200	0	21200	0.00	0	0.00	0	0	21200	100.00	21200				
Names :									0		0			0.00									
KIVAR HOLDINGS PVT LTD	AACCS7712C			21200	0	0	21200	0.00	21200	0	21200	0.00	0	0.00	0	0	21200	100.00	21200				
<b>Sub-Total (A)(1)</b>			3	649044	0	0	649044	0.12	649044	0	649044	0.12	0	0.12	0	0	101801	15.68	649044				
									0		0			0.00									
<b>2. FOREIGN</b>									0		0			0.00									
<b>Individuals (Non-Resident Individuals/ Foreign Individuals)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
a. Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
b. Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
c. Foreign Portfolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
d. ANY OTHER									0		0			0.00									
Names :									0		0			0.00									
<b>Sub-Total (A)(2)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0				
									0		0			0.00									
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>			3	649044	0	0	649044	0.12	649044	0	649044	0.12	0	0.12	0	0	101801	15.68	649044				

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
									Class X	Class Y	Total								
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	NA	0
b.	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	NA	0
c.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	NA	0
d.	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	NA	0
e.	Foreign Portfolio Investors		1	9008815	0	0	9008815	1.60	9008815	0	9008815	1.60	0	1.60	0	0	0	NA	9008815
	Names:																		
	QVT SINGAPORE FUND PTE. LTD.	AAACQ4301P		9008815	0	0	9008815	1.60	9008815	0	9008815	1.60	0	1.60	0	0	0	NA	9008815
f.	Financial Institutions/ Banks		5	3807131	0	0	3807131	0.68	3807131	0	3807131	0.68	0	0.68	0	0	0	NA	3807131
g.	Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	0	NA	78764
h.	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	NA	0
i.	ANY OTHER								0		0		0.00					NA	0
	CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	NA	0
	FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	NA	0
	FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	NA	0
	Sub-Total (B)(1)		8	12894710	0	0	12894710	2.29	12894710	0	12894710	2.29	0	2.29	0	0	0	NA	12894710
	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	NA	0
3.	NON-INSTITUTIONS																		
a.	INDIVIDUALS -																		
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		103678	165516826	0	0	165516826	29.45	165516826	0	165516826	29.45	0	29.45	0	0	0	NA	165475375

Table III

II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2523	208284701	0	0	208284701	37.06	208284701	0	208284701	37.06	0	37.06	0	0	NA	208284701
Names :																
b. NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
c. Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
d. Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e. ANY OTHER																
BODIES CORPORATE	1209	121041884	0	0	121041884	21.54	121041884	0	121041884	21.54	0	21.54	0	0	NA	121041884
Names :																
UNO METALS LTD		AAACU3053E	14462000	0	0	14462000	2.57	14462000	0	14462000	2.57	0	2.57	0	0	14462000
AKG FINVEST LTD		AADCA8306P	18610000	0	0	18610000	3.31	18610000	0	18610000	3.31	0	3.31	0	0	18610000
STOCK HOLDING CORPORATION OF INDIA LTD		AABCS1429B	9822489	0	0	9822489	1.75	9822489	0	9822489	1.75	0	1.75	0	0	9822489
EDELWEISS CUSTODIAL SERVICES LIMITED		AACCE0677N	5656342	0	0	5656342	1.01	5656342	0	5656342	1.01	0	1.01	0	0	5656342
TRUSTS	9	890800	0	0	890800	0.16	890800	0	890800	0.16	0	0.16	0	0	NA	890800
DIRECTOR & THEIR RELATIVES	2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	79095
FOREIGN NATIONALS	25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS	947	12677532	0	0	12677532	2.26	12677532	0	12677532	2.26	0	2.26	0	0	NA	12677532
CLEARING MEMBERS	128	1735167	0	0	1735167	0.31	1735167	0	1735167	0.31	0	0.31	0	0	NA	1735167
HINDU UNDIVIDED FAMILIES	3708	32396581	0	0	32396581	5.76	32396581	0	32396581	5.76	0	5.76	0	0	NA	32396581
OTHERS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES	2	5120407	0	0	5120407	0.91	5120407	0	5120407	0.91	0	0.91	0	0	NA	5120407
Names :																
ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS	39	391787	0	0	391787	0.07	391787	0	391787	0.07	0	0.07	0	0	NA	390117
Sub-Total (B)(3)	112270	548215974	0	0	548215974	97.55	548215974	0	548215974	97.55	0	97.55	0	0		548172453
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	112278	561110684	0	0	561110684	99.84	561110684	0	561110684	99.84	0	99.84	0	0.00		561067163
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																
Name of the PAC	No. of Shares	% of PAC														
N.A.																
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																

Table IV

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Share-holding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
	<b>Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)</b>		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207