

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**

2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending **31-Dec-2018**
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Party paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: X	Class eg: Y	No of Voting Total	Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
A.	PROMOTER & PROMOTER GROUP	3	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	101801	21.48	474044
B.	PUBLIC	110276	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	0	0	550043635
C.	NON PROMOTER-NON PUBLIC	2	11200000	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	2.04	0	0.00	0	0	11443207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS#	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0.00	0	0	11200000
	Total	110281	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	101801	0.02	561960886

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust, so as to avoid any misuse arising out of exercising such voting rights.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights Table II in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)		
								Class X	Class Y	Total									
1. INDIAN																			
Individuals/Hindu undivided Family																			
a. Family			2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.08	0	0	80601	17.80	452844
Names :									0		0			0.00					
Subash Menon	AATPM5809J		80601	0	0	80601	0.01	80601	0	80601	0.01	0	0.01	0	0	80601	100.00	80601	
Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0.00	372243	
b. Central Government/ State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
c. Financial Institutions/ Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
Names :									0		0			0.00					
d. ANY OTHER									0		0			0.00					
Bodies Corporate			1	21200	0	0	21200	0.00	21200	0	21200	0.00	0	0.00	0	0	21200	100.00	21200
Names :									0		0			0.00					
KIVAR HOLDINGS PVT LTD	AACCS7712C		21200	0	0	21200	0.00	21200	0	21200	0.00	0	0.00	0	0	21200	100.00	21200	
Sub-Total (A)(1)			3	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	101801	21.48	474044
									0		0			0.00					
2. FOREIGN									0		0			0.00					
Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
b. Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
c. Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
d. Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
f. ANY OTHER									0		0			0.00					
									0		0			0.00					
Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0	
									0		0			0.00					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			3	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	101801	21.48	474044
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share-holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
1. INSTITUTIONS																		
a. Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
e. Foreign Portfolio Investors:		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
Names:																		
f. Financial Institutions/ Banks		3	833187	0	0	833187	0.15	833187	0	833187	0.15	0	0.15	0	0	0	0	833187
g. Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	0	0	78764
h. Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	0
i. ANY OTHER								0		0			0.00					0
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	0
FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Sub-Total (B)(1)		5	911951	0	0	911951	0.16	911951	0	911951	0.16	0	0.16	0	0			911951
								0		0			0.00					
2. Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	0
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0			0
								0		0			0.00					
3. NON-INSTITUTIONS								0		0			0.00					
a. INDIVIDUALS -								0		0			0.00					
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		101832	161203628	0	0	161203628	28.68	161203628	0	161203628	28.68	0	28.68	0	0	0	0	161162001

Table III

II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2463	219314372	0	0	219314372	39.02	219314372	0	219314372	39.02	0	39.02	0	0	NA	219314372
Names :								0		0			0.00				
b. NBFs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
c. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e. ANY OTHER								0		0			0.00				
BODIES CORPORATE		1128	117379910	0	0	117379910	20.89	117379910	0	117379910	20.89	0	20.89	0	0	NA	117379510
Names :								0		0			0.00				
UNO METALS LTD	AAACU3053E		14462000	0	0	14462000	2.57	14462000	0	14462000	2.57	0	2.57	0	0	0	14462000
AKG FINVEST LTD	AADCA8306P		18560000	0	0	18560000	3.30	18560000	0	18560000	3.30	0	3.30	0	0	0	18560000
VERTEX CUSTOMER MANAGEMENT INDIA PRIVATE LIMITED	AADCV7256M		7473990	0	0	7473990	1.33	7473990	0	7473990	1.33	0	1.33	0	0	0	7473990
EDELWEISS BROKING LTD	AABCE9421H		10807000	0	0	10807000	1.92	10807000	0	10807000	1.92	0	1.92	0	0	0	10807000
TRUSTS		10	891300	0	0	891300	0.16	891300	0	891300	0.16	0	0.16	0	0	NA	891300
DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	79095
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS		932	11523670	0	0	11523670	2.05	11523670	0	11523670	2.05	0	2.05	0	0	NA	11523670
CLEARING MEMBERS		108	902294	0	0	902294	0.16	902294	0	902294	0.16	0	0.16	0	0	NA	902294
HINDU UNDIVIDED FAMILIES		3683	32458839	0	0	32458839	5.78	32458839	0	32458839	5.78	0	5.78	0	0	NA	32458839
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES		2	4733038	0	0	4733038	0.84	4733038	0	4733038	0.84	0	0.84	0	0	NA	4733038
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE SHARE HOLDERS		86	606393	0	0	606393	0.11	606393	0	606393	0.11	0	0.11	0	0	NA	606371
Sub-Total (B)(3)		110271	549173733	0	0	549173733	97.72	549173733	0	549173733	97.72	0	97.72	0	0		549131684
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		110276	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	NA	550043635
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Name of the PAC	No. of Shares	% of PAC															
N.A.																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share-holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
									Class X	Class Y	Total			Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
	Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)-Subex Employee Welfare and																		
2.	ESOP Benefit Trust	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		2	0	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	0.04	0	0	NA		11443207