

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**

2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending **31-Mar-2019**
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0	474044
B.	PUBLIC	107906	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	0	0	550044035
C.	NON PROMOTER-NON PUBLIC	2	11200000	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	2.04	0	0.00	0	0	11443207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS#	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	1.99	0	0.00	0	0	11200000
	Total	107910	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	0	0.00	561961286

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust, so as to avoid any misuse arising out of exercising such voting rights. The shares are held in the Demat account of the Trustees of the Trust

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights Table II in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)		
								Class X	Class Y	Total									
1. INDIAN																			
Individuals/Hindu undivided Family																			
a. Family			2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0	474044
Names :									0		0			0.00					
Subash Menon	AATPM5809J			101801	0	0	101801	0.02	101801	0	101801	0.02	0	0.02	0	0	0	0	101801
Sudeesh Yezhuvath	AAAPY9060G			372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0	372243
b. Central Government/ State Government(s)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c. Financial Institutions/ Banks			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Names :									0		0			0.00					
d. ANY OTHER									0		0			0.00					
Bodies Corporate			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Names :									0		0			0.00					
Sub-Total (A)(1)			2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0.00	474044
									0		0			0.00					
2. FOREIGN									0		0			0.00					
a. Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
b. Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c. Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
d. Foreign Portfolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
f. ANY OTHER									0		0			0.00					
									0		0			0.00					
Sub-Total (A)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0		0			0.00					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0.00	474044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
1. INSTITUTIONS																		
a. Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
d. Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
e. Foreign Portfolio Investors:		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0	
Names:																		
f. Financial Institutions/ Banks		3	1462082	0	0	1462082	0.26	1462082	0	1462082	0.26	0	0.26	0	0	NA	1462082	
g. Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA	78764	
h. Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0	
i. ANY OTHER								0		0			0.00					
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0	
FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
Sub-Total (B)(1)		5	1540846	0	0	1540846	0.27	1540846	0	1540846	0.27	0	0.27	0	0		1540846	
								0		0			0.00					
2. Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0	
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0		0	
								0		0			0.00					
3. NON-INSTITUTIONS								0		0			0.00					
a. INDIVIDUALS -								0		0			0.00					
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		99680	156789414	0	0	156789414	27.90	156789414	0	156789414	27.90	0	27.90	0	0	NA	156748187	

Table III

ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2447	229999785	0	0	229999785	40.93	229999785	0	229999785	40.93	0	40.93	0	0	NA	229999785
Names :																	
SHIVANI TARUN HARIBHAKTI	AGSPD0531H		11585337	0	0	11585337	2.06	11585337	0	11585337	2.06	0	0.00	0	0	NA	11585337
b. NBFs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
c. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e. ANY OTHER																	
BODIES CORPORATE		1067	115135975	0	0	115135975	20.49	115135975	0	115135975	20.49	0	20.49	0	0	NA	115135975
Names :																	
UNO METALS LTD	AAACU3053E		19412000	0	0	19412000	3.45	19412000	0	19412000	3.45	0	3.45	0	0	0	19412000
AKG FINVEST LTD	AADCA8306P		18560000	0	0	18560000	3.30	18560000	0	18560000	3.30	0	3.30	0	0	0	18560000
STOCK HOLDING CORPORATION OF INDIA LTD - A/C NSE DERIVATIVES	AABCS1429B		6670042	0	0	6670042	1.19	6670042	0	6670042	1.19	0	1.19	0	0	0	6670042
TRUSTS		9	391300	0	0	391300	0.07	391300	0	391300	0.07	0	0.07	0	0	NA	391300
DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	79095
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS		915	12101827	0	0	12101827	2.15	12101827	0	12101827	2.15	0	2.15	0	0	NA	12101827
CLEARING MEMBERS		85	1206890	0	0	1206890	0.21	1206890	0	1206890	0.21	0	0.21	0	0	NA	1206890
HINDU UNDIVIDED FAMILIES		3589	27956782	0	0	27956782	4.97	27956782	0	27956782	4.97	0	4.97	0	0	NA	27956782
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES		2	3948118	0	0	3948118	0.70	3948118	0	3948118	0.70	0	0.70	0	0	NA	3948118
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE SHARE HOLDERS		80	854458	0	0	854458	0.15	854458	0	854458	0.15	0	0.15	0	0	NA	854458
Sub-Total (B)(3)		107901	548544838	0	0	548544838	97.61	548544838	0	548544838	97.61	0	97.61	0	0	NA	548503189
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		107906	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	NA	550044035
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Name of the PAC	No. of Shares	% of PAC															
N.A.																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

1.	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
									Class X	Class Y	Total								
	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA	243207	
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)-Subex Employee Welfare and ESOP Benefit Trust#	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA	11200000	
	Total Non-Promoter- Non Public Shareholding (C)=(1)+(C)(2)		2	0	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	0.04	0	0	NA	11443207	

Held in the Demat account of the Trustees of the Trust.