

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2015
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class eg: X	No of Voting				Total as a % of (A+B+C)	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
									Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.257	0	0	0	0	0	0.1861	0	0	974044	100	974044
B.	PUBLIC	93145	377670840	0	0	377670840	99.679	0	0	0	0	144449535	99.767	0	0	0	0	377619887
C.	NON PROMOTER-NON PUBLIC	1	243207	0	0	243207	0.0642	0	0	0	0	0	0.0465	0	0	0	0	243207
C1.	SHARES UNDERLYING DRS	1	243207	0	0	243207	0.0642	0	0	0	0	0	0.0465	0	0	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	93149	378888091	0	0	378888091	100	0	0	0	0	144449535	100.000	0	0	974044	100	378837138

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)			
								Class X	Class Y									Total	
1. INDIAN																			
Individuals/Hindu undivided Family																			
a. Family			2	452844	0	0	452844	0.120	0	0	0	0	0	0	0	452844	100.00	452844	
Names :																			
Subhash Menon	AATPM5809J		80601	0	0	80601	0.021	0	0	0	0	0	0	0	0	80601	100.00	80601	
Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.098	0	0	0	0	0	0	0	0	372243	100.00	372243	
b. Central Government/ State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Financial Institutions/ Banks			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Names :																			
d. ANY OTHER																			
Bodies Corporate			1	521200	0	0	521200	0.138	0	0	0	0	0	0	0	521200	100.00	521200	
Names :																			
KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.138	0	0	0	0	0	0	0	0	521200	100.00	521200	
Sub-Total (A)(1)			3	974044	0	0	974044	0.257	0	0	0	0	0	0	0	974044	100.00	974044	
2. FOREIGN																			
Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a. Government			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Institutions			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Portfolio Investor			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. ANY OTHER																			
Sub-Total (A)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			3	974044	0	0	974044	0.257	0	0	0	0	0	0	0	974044	100.00	974044	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table III

3.	NON-INSTITUTIONS																		
a.	INDIVIDUALS -																		
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	86237	109632368	0	0	1.1E+08	28.94	0	0	0	0	0	20.949	0	0	NA		109583485	
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1347	94141224	0	0	94141224	24.85	0	0	0	0	0	17.989	0	0	NA		94141224	
	Names :																		
b.	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0	
c.	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0	
	Overseas Depositories (holding DRs)																		
d.	(balancing figure)	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0	
e.	ANY OTHER																		
	BODIES CORPORATE	1609	110786488	0	0	1.11E+08	29.24	0	0	0	0	0	21.169	0	0	NA		110786088	
	Names :																		
	UNO METALS LTD	AAACU3053E	12550000	0	0	12550000	3.31	0	0	0	0	0	2.398	0	0	0		12550000	
	AKG FINVEST LTD	AADCA8306P	11947000	0	0	11947000	3.15	0	0	0	0	0	2.283	0	0	0		11947000	
	TRUSTS	5	213600	0	0	213600	0.06	0	0	0	0	0	0.041	0	0	NA		213600	
	DIRECTOR & THEIR RELATIVES	1	60000	0	0	60000	0.02	0	0	0	0	0	0.012	0	0	NA		60000	
	FOREIGN NATIONALS	26	83852	0	0	83852	0.02	0	0	0	0	0	0.016	0	0	NA		83852	
	NON RESIDENT INDIANS	754	4970992	0	0	4970992	1.31	0	0	0	0	0	0.950	0	0	NA		4970992	
	CLEARING MEMBERS	319	9503404	0	0	9503404	2.51	0	0	0	0	0	1.816	0	0	NA		9503404	
	HINDU UNDIVIDED FAMILIES	2791	14638962	0	0	14638962	3.86	0	0	0	0	0	2.797	0	0	NA		14638962	
	OTHERS	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0	
	FOREIGN CORPORATE BODIES	6	28460236	0	0	28460236	7.51	0	0	0	0	144449535	33	0	0	NA		28460236	
	Names :																		
	BARCLAYS CAPITAL MAURITIUS LTD	AACCB4438L	8623769	0	0	8623769	2.28	0	0	0	0	0	1.648	0	0	0		8623769	
	DEUTSCHE BANK AG LONDON CB	AAACD1390F	8362814	0	0	8362814	2.21	0	0	0	0	0	1.598	0	0	0		8362814	
	COPTHALL MAURITIUS INVESTMENT LTD	AAACC4303M	7642377	0	0	7642377	2.02	0	0	0	0	0	1.460	0	0	0		7642377	
	ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS	43	177118	0	0	177118	0.05	0	0	0	0	0	0.034	0	0	NA		175448	
	Sub-Total (B)(3)	93138	372668244	0	0	3.73E+08	98.36	0	0	0	0	0	71.210	0	0			372617291	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	93145	377670840	0	0	3.78E+08	99.68	0	0	0	0	0	99.770	0	0			377619887	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																			
	Name of the PAC	N of Shares	% of PAC																
	QVT Mauritius West Fund & Quintessence Mauritius West Fund	3830731	1.01																
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share-holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights		Total as a % of Total Voting rights			No.(a) of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)		
									Class X	Class Y							Total	
1.	Custodian/DR Holder		1	243207	0	0	243207	0.064	0	0	0	0	0.0465	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		1	243207	0	0	243207	0.064	0	0	0	0	0.0465	0	0			243207